

Mealey, Denise

From: David H. Dickieson [ddickieson@schertlerlaw.com]
Sent: Thursday, September 20, 2007 7:52 PM
To: julie_davis@levin.senate.gov
Subject: Whistleblower

Dear Ms. Davis – I don't mean to sound alarmist, but my client has risked his livelihood and even his life to expose massive tax fraud on an international scale and yet, no one in two branches of government seems to be concerned enough to listen to him or to recognize his sacrifice. Would you please ask Mr. Roach to contact me as soon as possible. As I have indicated in prior messages to you, events are rapidly evolving at the bank. All salespeople have been ordered to terminate all sales trips to the US in reaction to news that my client has gone to the US Department of Justice. My client is getting feedback from his contacts in the bank and such information has a very short shelf life. We have a limited opportunity to change an entire industry designed to evade US taxes. Let's not fiddle while Rome burns.

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Washington, DC 20004
(202) 824-1222
Fax: (202) 628-4177
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Mealey, Denise

From: Roach, Bob (HSGAC) [Bob_Roach@hsgac.senate.gov]
Sent: Friday, September 21, 2007 4:18 PM
To: David H. Dickleson; McDougal, John (HSGAC)
Cc: McDougal, John (HSGAC)
Subject: RE: Follow Up
Attachments: Levin fir stmt-Stop Tax Haven Abuse Act (2-17-07 Final).doc

Mr. Dickleson – Thanks for taking the time to speak with John and me today. I hope that we will have the opportunity to meet with you and your client in the near future.

As promised, attached is a recent floor statement by Senator Levin of the abuse of offshore tax haven.

Please call me if you have any questions.

Regards.

Bob Roach.

From: David H. Dickleson [mailto:ddickleson@schertlerlaw.com]
Sent: Friday, September 21, 2007 4:02 PM
To: McDougal, John (HSGAC)
Cc: McDougal John C; Roach, Bob (HSGAC)
Subject: RE: Contact information

Thanks. I haven't heard from my client, but I know he will want to jump on this opportunity to meet with you as soon as he can arrange to come to the US.

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From: McDougal, John (HSGAC) [mailto:John_McDougal@hsgac.senate.gov]
Sent: Friday, September 21, 2007 3:30 PM
To: David H. Dickleson
Cc: McDougal John C; Roach, Bob (HSGAC)
Subject: Contact information

Hi Mr. Dickleson. Here is my contact information after next Wednesday:

John C. McDougal
Special Trial Attorney
Internal Revenue Service (SBSE)
Main Street Centre, Suite 1601
600 East Main Street
Richmond, Virginia 23219
Telephone - (804) 916-3942
FAX - (804) 916-3939
E-mail – John.C.McDougal@irs.counsel.treas.gov

7/31/2008

Attachment 5

As we discussed, if your client decides to come to D.C. to meet with the Subcommittee staff, I would also appreciate the opportunity to interview him in connection with a broader interest the IRS has in the practices of private banks with respect to offshore financial activities of U.S. clients. I will talk to Bob Roach about the propriety of conducting a joint interview, but if that doesn't work, perhaps it would be possible to arrange a separate interview with me during the same trip.

Thank you.

John

John C. McDougal

Permanent Subcommittee on Investigations

Telephone: (202) 224-4209

Fax: (202) 224-1972

7/31/2008

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United States Senate

COMMITTEE ON
HOMELAND SECURITY AND GOVERNMENTAL AFFAIRS
WASHINGTON, DC 20510-6250

October 9, 2007

VIA U.S. MAIL & EMAIL (jdickieson@schertlerlaw.com)

Mr. Bradley Birkenfeld
c/o David H. Dickieson, Esq.
Schertler & Onorato, LLP
601 Pennsylvania Avenue, N.W.
North Building, 9th Floor
Washington, D.C. 20004

Dear Mr. Birkenfeld:

Pursuant to its authority under Senate Resolution 89, Section 11(c), 110th Congress, the Permanent Subcommittee on Investigations is currently reviewing matters relating to the use of offshore jurisdictions and financial institutions for the avoidance of U.S. taxes.

Attached please find *Notice of Senate Deposition 000379* and *Subpoena #E02731* for your appearance at the Deposition which is scheduled for October 11, 2007, at 10:00 a.m. and October 12, 2007, at 1:30 p.m., in Room 199 of the Russell Senate Office Building. Additionally, to assist the Subcommittee with these matters, please provide the documents requested in *Subpoena #E02732* (attached) no later than October 11, 2007.

Because of increased security concerns, the Senate Sergeant at Arms requires special treatment for packages delivered to Senate offices. We ask, therefore, that you review the attached document outlining *Procedures for Transmitting Documents to the Permanent Subcommittee on Investigations* and call the Subcommittee Chief Clerk, Mary Robertson, 202/224-9868, to arrange for delivery of the documents. Please contact Robert Roach or Cliff Stoddard at 202/224-3721 if you have any questions about this matter. Thank you for your assistance with this matter.

Sincerely,



Norm Coleman
Ranking Minority Member
Permanent Subcommittee on Investigations



Carl Levin
Chairman
Permanent Subcommittee on Investigations

Attachments

Attachment 6

UNITED STATES OF AMERICA

Congress of the United States

Notice of
Senate Deposition

000379

To Bradley Birkenfeld

Greeting:

**Please take notice that at 10:00 o'clock a. .m., on October 11 & 12, 2007,
at 199 Russell Senate Office Building, Washington, D.C. 20510,
Robert Roach, Laura Stuber and Clifford Stoddard
of the staff of the **PERMANENT SUBCOMMITTEE ON INVESTIGATIONS OF THE**
COMMITTEE ON HOMELAND SECURITY AND GOVERNMENTAL AFFAIRS of the Senate
of the United States, will take your deposition on oral examination concerning what you
may know relative to the subject matters under consideration by said Subcommittee. The
deposition will be taken before a notary public, or before some other officer authorized
by local law to administer oaths; it will be taken pursuant to the Subcommittee's rules, a
copy of which is attached.**

Given under my hand, by authority vested in me by
the Subcommittee, on this 4th
day of October, 2007.

Carl Levin

Chairman, Senate Permanent Subcommittee on
Investigations of the Committee on Homeland
Security and Governmental Affairs